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JC921 U.S. PTO

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Case Docket No. PHB 34,387

JC490 U.S. PTO  
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THE COMMISSIONER FOR PATENTS, Washington, D.C. 20231

Enclosed for filing is the patent application of Inventor:  
PAUL V. HILLIER, PETER J. LANIGAN and NICOLL B. SHEPHERD

**FOR: CLUSTERED NETWORKED DEVICES**

**ENCLOSED ARE:**

- ☒ Appointment of Associates;
- ☒ Information Disclosure Statement, Form PTO-1449 and copies of documents listed therein;
- ☒ Preliminary Amendment;
- ☒ Specification (13 Pages of Specification, Claims, & Abstract);
- ☒ Declaration and Power of Attorney:  
(2 Pages of a ☒ fully executed ☐ unsigned Declaration);
- ☒ Drawing (3 sheets of ☐ informal ☒ formal sheet);
- ☒ Certified copy of Great Britain Application Serial #9921049.4;
- ☒ Authorization Pursuant to 37 CFR §1.136(a)(3)
- ☐ Other: ;
- ☒ Assignment to U.S. PHILIPS CORPORATION.

**FEE COMPUTATION**

CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE - \$690.00
Total Claims	8 - 20 =		X \$18 =	0.00
Independent Claims	1 - 3 =		X \$78 =	0.00
Multiple Dependent Claims, if any			\$260 =	0.00
TOTAL FILING FEE . . . . .				\$ 690.00

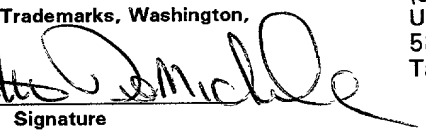
Please charge Deposit Account No. 14-1270 in the amount of the total filing fee indicated above, plus any deficiencies. The Commissioner is also hereby authorized to charge any other fees which may be required, except the issue fee, or credit any overpayment to Account No. 14-1270.


☐ Amend the specification by inserting before the first line as a centered heading --Cross Reference to Related Applications--; and insert below that as a new paragraph --This is a continuation-in-part of application Serial No. , filed , which is herein incorporated by reference--.

**CERTIFICATE OF EXPRESS MAILING**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

PAUL V. HILLIER ET AL

PHB 34,387

Serial No.

Filed: CONCURRENTLY

CLUSTERED NETWORKED DEVICES

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to calculation of the filing fee and examination,  
please amend the above-identified application as follows:

IN THE SPECIFICATION

Page 1, before the Title delete "DESCRIPTION" and after the  
Title

insert as a centered heading:

--BACKGROUND OF THE INVENTION--;

Page 2, line 15, insert as a centered heading:

--SUMMARY OF THE INVENTION--;

Page 3, line 17, insert as a centered heading:

--BRIEF DESCRIPTION OF THE DRAWING--;

line 30, insert as a centered heading:

--DESCRIPTION OF THE PREFERRED EMBODIMENTS--;

IN THE CLAIMS

Page 11, before line 1, replace "CLAIMS" with

--WHAT IS CLAIMED IS:--

IN THE ABSTRACT

Before line 5, delete in its entirety and substitute the following as a centered heading:

--ABSTRACT OF THE DISCLOSURE--;

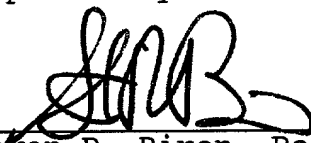
last line, delete "(Figure 1)".

REMARKS

The Abstract and Specification have been amended to add headings in accordance with MPEP Section 601 and place the instant application in standard U.S. format.

Entry of this amendment prior to calculating the filing fee is respectfully requested.

Respectfully submitted,

By   
Steven R. Biren, Reg. 26,531  
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(914) 333-9630  
September 5, 2000

## DESCRIPTION

## CLUSTERED NETWORKED DEVICES

5       The present invention relates to networked systems composed of a plurality of devices clustered for the exchange of data and control messages formatted according to predetermined protocols and, in particular although not essentially, to such systems where inter-device communication between some of the devices is via wireless link. The invention further relates to devices for  
10 use in groups or clusters to form such systems.

Networked interconnection of devices has long been known and used, starting from basic systems where different system functions have been provided by separate units, for example hi-fi systems or security systems  
15 having detectors, a control panel and one or more alarm sounders. A development has been the so-called home bus systems where a greater variety of products have been linked with a view to providing enhanced overall functionality in for example domestic audio/video apparatus coupled with a home security system and the use of telephone. An example of such a home  
20 bus system is the domestic digital bus (D2B), the communications protocols for which have been issued as standard IEC 1030 by the International Electrotechnical Commission in Geneva, Switzerland. The D2B system provides a single wire control bus to which all devices are interfaced with messages carried between the various devices of the system in a  
25 standardised form of data packet.

With all such domestic equipment interconnection schemes, there is a problem of connection to apparatus not supporting the communications protocols of the scheme. As an example, a user may have a music system comprising interconnected units such as a compact disc (CD) player, amplifier,  
30 tuner and cassette player which communicate with each other using a first set of communications protocols, together with an audio visual system comprising for example a television, video recorder and satellite receiver which communicate

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As is also described in US 5,754,548, such gateway devices can be used as part of a link between two clusters of bus-connected devices supporting the same communications protocols, but with different protocols governing communications on the link between the clusters. The link between the clusters may, for example, comprise a wireless (infra-red or RF) channel between the two gateway devices, whilst the cluster devices themselves are hard wired to respective serial data buses.

15                   It is an object of the present invention to provide a networked system of devices including one or more communications links capable of handling digital data.

In accordance with a first aspect of the present invention there is  
20 provided a local communication system comprising:

a first cluster of devices interconnected for the communication of messages via a first data bus and in accordance with a first set of communication protocols;

a second cluster of devices interconnected for the communication of  
25 messages via a second data bus and in accordance with said first set of  
communication protocols; and

a data channel linking a device of said first cluster and a device of said second cluster, said data channel supporting communication of messages in accordance with a second set of communications protocols;

30 wherein a device of the first cluster holds a stored software representation of operational features of a selected device of the second cluster and any device of the first cluster wishing to interact with said selected device

10           The stored representation may model the said selected device as if it were a device of the first cluster, and the said device of the first cluster holding the stored representation may suitably be that device of the first cluster to which the data channel is connected. The said data channel may be a wireless link.

Further features and advantages of the present invention will become apparent from reading of the description of preferred embodiments of the invention, given by way of example only and with reference to the 20 accompanying drawings, in which:

Figure 2 shows a pair of clusters using a different interconnect  
25 mechanism to the arrangement of Figure 1;

Figure 4 schematically represents an arrangement for the handling of timing issues over the bridge in any of Figures 1 to 3.

A first arrangement of interconnected devices is shown in Figure 1, with the devices being divided into three clusters 10, 20, 30, each based around a

respective bus 18, 28, 38 supporting communication in accordance with IEEE Standard 1394 connect and communications protocols. In the following examples, reference is made to various communications protocols including IEEE 1394, IEEE 802.11, and HAVi (the Home Audio/Video interoperability standard based around 1394), and the disclosure of the specification of these various protocols is incorporated herein by reference. As will be recognised by the skilled reader, however, conformance with such protocols is not essential to the operation of the present invention.

The devices in the first cluster 10 comprise a set-top box (STB) 11, a first digital video recorder (DVHS-1) 12, a digital versatile disc (DVD) player 13 and an RF send and receive unit 19 which acts as a gateway device for the first cluster. The devices in the second cluster 20 comprise a first television set (TV-1) 21, a second digital video recorder (DVHS-2) 22 and an RF send and receive unit 29 which acts as a gateway device for the second cluster. The devices in the third cluster 30 comprise a second television set (TV-2) 31, a third digital video recorder (DVHS-3) 32, and an RF send and receive unit 39 which acts as a gateway device for the third cluster.

The second and third clusters 20, 30 communicate with the first 10 via respective RF links 41, 42 between the gateway devices at data rates which may be up to 8Mbit/sec or even higher. At these rates, digital video transmitted from one cluster to another may be compressed according to the known MPEG standards. HAVi commands may also be exchanged between the clusters as indicated by arrows 17, 27, 37: note that the channel for these commands may be integrated with the RF channel or it may be separate.

In the system of Figure 1, the main value of the cordless link is for presentation, namely getting content from a source (such as the STB 11 in the first cluster) to the point of consumption (e.g. the TV-1 in the second cluster). This is particularly relevant where the source is tethered to a delivery medium, such as cable, terrestrial/satellite antenna, phone line, etc. From a logical point of view, the gateways and RF links may be treated as a single device 40 (as indicated by the dashed outline) such that the system as a whole then comprises just 1394-linked devices, although different timing issues on either

side of the bridge "device" 40 will need to be addressed, as will be described in further detail below.

A handheld PIA-like unit may be used for TV viewing although this is not necessarily of value since most rooms will have a TV anyway. PIA units have compelling value for Internet Surfing and home control, however, and they also are useful for supporting interactive TV (e.g. background information to advertisements, TV shows, etc.).

For true mobility within the home, (e.g. using a PIA-type unit) the TV picture should be stable when stationary; however, when moving some flutter is probably acceptable, and this is achievable using the high frequency RF link and MPEG compression.

In such systems, related issues include the need to protect the cordless signal from casual eavesdropping, particularly for pay-per-view content; a need to support interactive services (e.g. based on Java, MHEG); and a need to retain synchronisation between audio and video - for example, if these components are sent via separate routes.

In connection with access to the MPEG stream, some STB designs may decode right down to YC / CVBS / RGB allowing no access to the MPEG stream itself, whilst support for 1394/HAVi presumes that products are 1394/HAVi equipped which may not always be the case.

Considering the RF related issues, and beginning with those relating to MPEG streaming, for correct timing of audio and video, the MPEG 90kHz reference clock needs to be conveyed to the receiver via the RF channel. In order to broadcast to several receivers, there is no problem if all the receivers are on the same 1394 bus (i.e. in the same cluster) but where there are several clusters, it is recommended to use a dedicated MPEG stream to each, although the gateway device for the cluster sending out the MPEG streams (the source 1394 cordless AV adapter node CAVa) has to be able to configure this streaming.

In terms of presentation issues, to protect against possible errors caused by the radio channel, duplicate MPEG streams may be sent. To protect against possible delay caused by the radio channel the content could



Products will not generally be isolated - they will be part of a wired 1394 cluster (even if only consisting of 2 products/devices); however, the basic requirement of presentation is to communicate from one product to another, either within the same 1394 cluster, or between clusters. It is not a necessary requirement that clusters need to communicate one to another at the 1394 level.

The gateway (1394 CAVa) is a special HAVi Full AV controller (FAV) device. The 1394 CAVa hosts Device Control Modules (DCM's) of devices located on remote 1394 buses (if necessary, more than one bus can be linked to, for multicast purposes). This implies that, in general, all devices that are hosted will have uploadable DCM's. In Figure 1, this is illustrated by the shaded boxes attached to each gateway device: in these shaded boxes are the "proxy" DCM's of selected products located within remote clusters. The communication of HAVi commands across the radio link can be performed in any way, including proprietary methods. AV stream routing (e.g. MPEG) may

The second cluster 60 comprises a digital TV/VCR unit 62 linked to a gateway device 69 via 1394 bus 68. As for the first cluster, a PC 64 is connected to the gateway 69 which receives MPEG from the PC 64, as well as the HAVi commands 65 from the first cluster 50. In this example, communication of MPEG and the HAVi commands is accomplished between the PC's 54, 64 via wireless link following IEEE 802.11 WLAN standards with each PC including an RF ISA/PCI card. Available cordless data links following these standards include Diamond HomeFree (which has a data rate of 1Mbps) and RadioLan (10Mbps).

In general, such an arrangement is less favoured than that of Figure 1 in that a certain amount of buffering is liable to be required at the send and/or receive sides, although this can simply be provided by the PC's. The arrangement does have benefit, however, in that it can accommodate devices unsuited for connection to the 1394 bus of a cluster: in Figure 2 this is illustrated by analogue TV/VCR 67 adjacent the second cluster which is supplied with images from an MPEG decoder 66 fed directly from the PC 64 of the cluster.

30 A further interconnect arrangement is shown in Figure 3 and comprises three clusters 70, 80, 90 each having a respective cordless bridge CB device as gateway device 71, 81, 91. In this example, the bridging between the

A problem that can arise with sending streams over 1394 bridges is how to handle the 1394-level timestamps present in many of the streaming  
5 formats. These are required because packet delivery is time critical for some formats, including MPEG. Buses on 1394 have a bus-wide clock such that, for timestamps generated on one bus to be valid on another, the clocks of the two buses must somehow be synchronised which, the skilled reader will recognise, is not always a simple matter. In addition, timestamps within transmitted data  
10 packets may need modification or adjustment by the bridge to take account of generally longer delivery times to devices on the far side of the bridge than to devices on the data originating bus.

In order to avoid such problems, a system as shown in Figure 4 is suitably employed, with the 1394 buses 100, 110 on either side of the bridge 120. At point a, packets from the 1394 bus 100 are encoded and timestamped as specified in a further standard, IEC61883.

At point b, all the packets have passed through an interface chip or circuit assembly PHY 102 acting as interface to the 1394 physical layer, and through a link chip or circuit AVLINK 104 which implements IEC61883 for the relevant streaming format: an example of this chip is the Philips PDI1394L11. At b, the packets have had all 1394/61883 timestamps removed. Packets are released from the AVLINK chip 104 at the correct times, such that the timing information is now embodied by the release times of the packets themselves. In the following, we assume a packet is released at time  $t$ .

25           The next step is the sending of the packet across the bridge 120: the  
only requirement of the bridge system is that it delivers the packet with a  
constant delay, referred to herein as  $T$ . How the bridge achieves this  
constancy is beyond the scope of the present invention: what matters is that a  
packet can be relied upon to arrive at a further AVLINK chip 114 on the other  
30 side of the bridge at time  $= t + T$ .

At point c, packets arrive at the “correct” time due to the constant delay  $T$ , and the AVLINK chip will now encode and timestamp them in conventional

fashion, as dictated by IEC61883. These timestamps will be in the context of the second bus 110. If it is determined that packets have been lost or corrupted by the bridge 120, it is here at c, between the bridge and AVLINK 114 that recovery actions should be initiated.

- 5 From point d, having been passed through a further physical layer PHY interface 112, the packets are sent out over the second bus 110 with timestamps appropriate for that bus.

To send digital video (DV) streams, there are some different requirements, largely due to the fact that DV is slightly less time-critical on  
10 delivery than MPEG, and a slightly different mechanism is used, based around the SYT timestamp as also specified in IEC61883. This allows for a stream to be sent with an "attached" clock signal, which can be up to 8kHz. To send this, a clock signal with a frequency  $\leq 8\text{kHz}$  is input to the AVLINK chip on the transmitting node. Every clock cycle (every "tick"), the value of the bus clock  
15 at that instant will be sampled, a constant value will be added to compensate for the transport delay, and transmitted over the bridge as part of the stream. The receiving node will store the value until such time as its own clock is equal to that value, and then output a tick. The 8kHz limit is imposed as only one SYT timestamp can be sent per 1394 isochronous packet, of which there are  
20 8000 per second.

As before, the physical means of transportation for this clock signal across the bridge will depend on the construction of the bridge itself. The same principle of taking the output from the receiving AVLINK chip on the first bus will mean that no timestamps in the context of the first bus appear on the  
25 second bus; the clock signal is just sent over the bridge to be re-timestamped to the context of the second bus.

In the interconnect arrangements described, a number of improvements are provided, the first of which may be described as the provision of mobile DCM's – that is to say DCM's crossing from one cluster to another. HAVi  
30 describes the Device Control Module (DCM) software which represents (or is an abstraction of) the control system of a physical device. This software can be run on another device that is capable of running such software. For

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instance, the DCM for a D-VHS recorder can be run on a Set Top Box. Currently, HAVi assumes that all devices in the network are connected on one single bus. The present invention extends this by providing for the DCM's to cross over the bridge. By having a representation of the remote device on the near side of the bridge, bridging problems can be greatly simplified as the remote device is apparently now on the near side of the bridge. In other words, there is provided software on one side of a bridge between buses which represents a device on another bus which is connected to another portal of the bridge.

10 A further improvement relates to the usage of so-called Legacy devices within the HAVi V1.0 specification. Legacy AV devices (LAV's) are already defined in HAVi and allow non-HAVi devices to be accessed and controlled by a HAVi network, by the use of DCM's (mentioned above). In effect, the DCM for a Legacy device is a bridge between a HAVi network and the native control of the Legacy Device (e.g. the above-mentioned D2B protocols). In this way, non-HAVi devices can be made to appear like a HAVi device on the HAVi network. This idea extends this mechanism to allow control of real HAVi devices on the far side of a bridge via the representation of that device on the near side of the bridge.

20 A still further improvement relates to the modification of Virtual plug parameters. HAVi already describes the capabilities of a connection by assigning parameters to "virtual plugs" situated at each end of the connection path. In a bridge, parameters such as bandwidth are limited and are less than the capabilities of the actual physical device. The modification allows the representation of a remote device on the near side of the bridge to be modified to make allowances for the limitations of the bridge transport medium (e.g. RF).

From reading the present disclosure, other modifications and variations will be apparent to persons skilled in the art, including equivalents and features which are already known in the field of bus-connected and cordless communication systems and components and which may be used instead of or in addition to features already disclosed herein.

## CLAIMS

1. A local communication system comprising:
- 5 a first cluster of devices interconnected for the communication of messages via a first data bus and in accordance with a first set of communication protocols;
- a second cluster of devices interconnected for the communication of messages via a second data bus and in accordance with said first set of
- 10 communication protocols; and
- a data channel linking a device of said first cluster and a device of said second cluster, said data channel supporting communication of messages in accordance with a second set of communications protocols;
- wherein a device of the first cluster holds a stored software
- 15 representation of operational features of a selected device of the second cluster and any device of the first cluster wishing to interact with said selected device instead interacts with said stored representation.
2. A system as claimed in Claim 1, wherein said stored
- 20 representation is generated by said selected device and transmitted via said data channel to said device of the first cluster.
3. A system as claimed in Claim 1, wherein said stored representation is modified in response to limitations of said data channel.
- 25
4. A system as claimed in Claim 2, wherein said stored representation is modified, on receipt by said device of the first cluster, in response to limitations of said data channel.
- 30
5. A system as claimed in Claim 1, wherein said stored representation models said selected device as if it were a device of the first cluster.

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5           7.     A system as claimed in Claim 1, wherein said data channel is a wireless link.

10

[illegible]

## ABSTRACT

## CLUSTERED NETWORKED DEVICES

5        A local communication system comprises a first cluster (10) of devices interconnected for the communication of messages via a first data bus (18) and in accordance with a first set of communication protocols, a second cluster (20) interconnected via a second data bus (28) and following the first set of communication protocols; and a data channel (41) linking a device (19) of the  
10 first cluster (10) and a device (29) of the second cluster (20). The data channel (41) suitably comprises an RF link supporting communication of messages in accordance with a second set of communications protocols. A device (19) of the first cluster (10) holds a stored software representation of operational features of a selected device (DVHS-2) of the second cluster (20) and any device (11) of  
15 the first cluster wishing to interact with said selected device (DVHS-2; 22) instead interacts with said stored representation.

(Figure 1)

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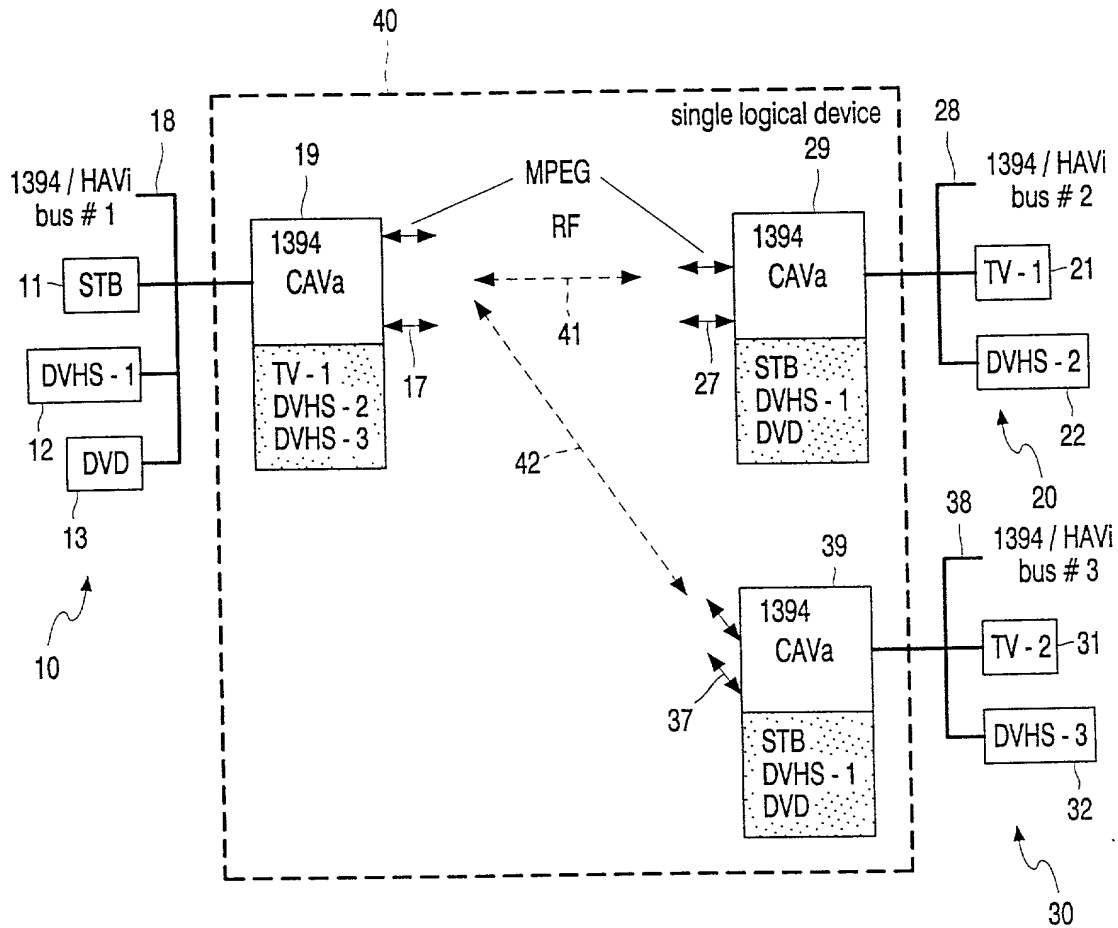


FIG. 1

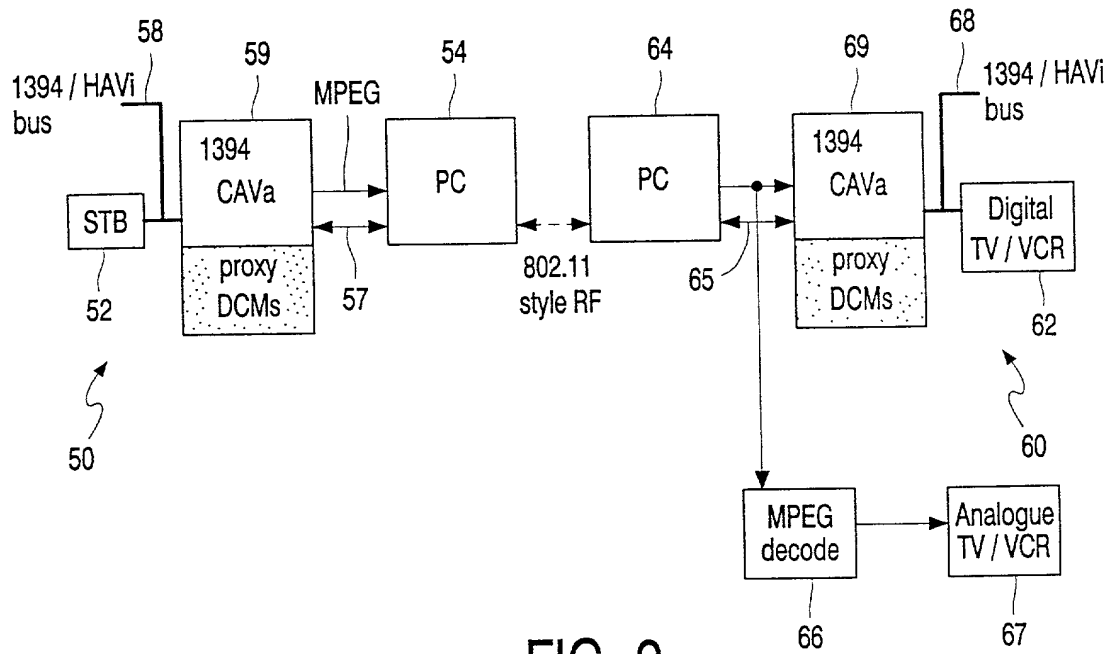


FIG. 2

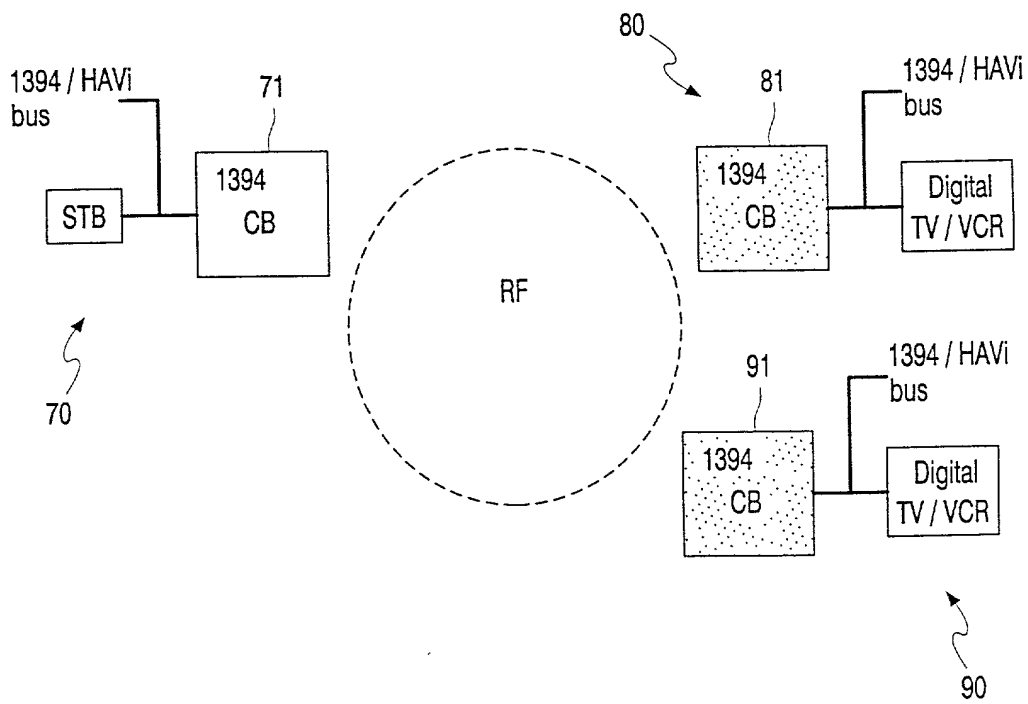


FIG. 3

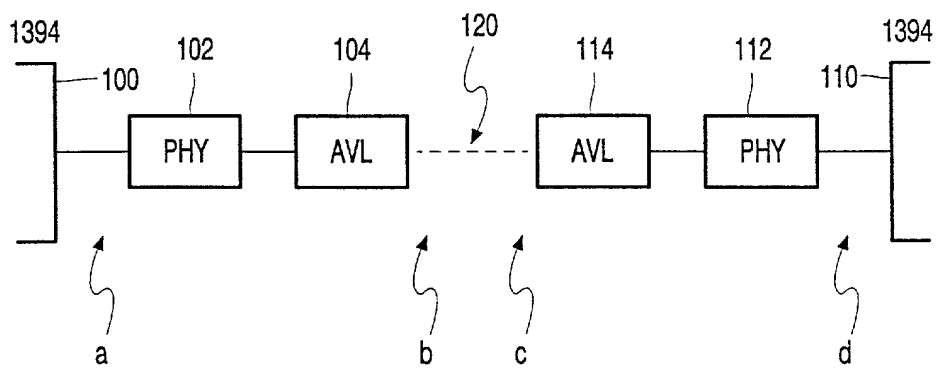


FIG. 4

# DECLARATION AND POWER OF ATTORNEY

Sole/Joint

Attorney's Docket No:

PHB34387US

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

CLUSTERED NETWORKED DEVICES

the specification of which (check one)



is attached hereto



was filed on

as Application Serial No: ..... and was amended on

..... (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56 (a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

## PRIOR FOREIGN APPLICATION(S)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY Claimed Under 35 U.S.C. 119
GREAT BRITAIN	9921049.4	07-09-1999	Yes <u>X</u> No _____
			Yes _____ No _____

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

## PRIOR UNITED STATES APPLICATION(S)

APPLICATION SERIAL NUMBER	FILING DATE	STATUS (PATENTED, PENDING, ABANDONED)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Jack E. Haken, Reg. No 26,902  
Algy Tamoshunas, Reg. No 27,677

SEND CORRESPONDENCE TO: Corporate Patent Counsel U.S. Philips Corporation 580 White Plains Road Tarrytown, New York 10591	DIRECT TELEPHONE CALLS TO: (Name and telephone number)  (914) 332-0222
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Dated: <u>JULY 5th 2000</u>		Inventor's Signature: <u>[Signature]</u>	
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POST OFFICE ADDRESS	Street & No	City	State or Country Zip Code

Dated:		Inventor's Signature	
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Dated:		Inventor's Signature	
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POST OFFICE ADDRESS	Street & No	City	State or Country Zip Code

Dated:		Inventor's Signature	
FULL NAME OF INVENTOR:	Last name	First Name	Middle Name
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Dated:		Inventor's Signature	
FULL NAME OF INVENTOR:	Last name	First Name	Middle Name
RESIDENCE & CITIZENSHIP	City	State or Foreign Country	Country of Citizenship
POST OFFICE ADDRESS	Street & No	City	State or Country Zip Code

Dated:		Inventor's Signature	
FULL NAME OF INVENTOR:	Last name	First Name	Middle Name
RESIDENCE & CITIZENSHIP	City	State or Foreign Country	Country of Citizenship
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Dated:		Inventor's Signature	
FULL NAME OF INVENTOR:	Last name	First Name	Middle Name
RESIDENCE & CITIZENSHIP	City	State or Foreign Country	Country of Citizenship
POST OFFICE ADDRESS	Street & No	City	State or Country Zip Code